

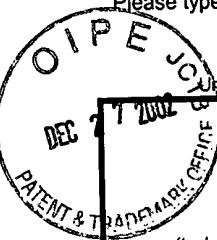
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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/954,831	
Filing Date	September 18, 2002	
First Named Inventor	Thomas H. Baum	
Group Art Unit	2811	
Examiner Name	TBA	
Total Number of Pages in This Submission	Attorney Docket Number	ATMI-510-CIP

TECHNOLOGY CENTER 2800
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ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Copy of one (1) Reference
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	TC 1100 MAIL ROOM
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	JAN - 2003
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Remarks	RECEIVED
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		RECEIVED JAN 08 2003 TC 1100

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Margaret Chappuis
Signature	
Date	December 18, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited pursuant to 37 C.F.R. 1.8 in an envelope addressed to:
Commissioner for Patents, Washington, D.C. 20231 on this date: 12/18/2002

Type or printed name	Lee Ann DiLello	Date	12/18/2002
Signature			

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FEE TRANSMITTAL
for FY 2002

DEC 27 2002

PATENT & TRADEMARK OFFICE

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 180.00)

Complete if Known

Application Number	09/954,831
Filing Date	09/18/2001
First Named Inventor	Thomas H. Baump
Examiner Name	TBA
Group Art Unit	2811
Attorney Docket No.	ATMI-510-CIP

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

50-0860

Deposit Account Name

Advanced Technology Materials, Inc.

 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.272. Payment Enclosed: Check Credit card Money Order Other**FEE CALCULATION**1. **BASIC FILING FEE**

Large Entity Small Entity

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee Description
101	740	201	370 Utility filing fee
106	330	206	165 Design filing fee
107	510	207	255 Plant filing fee
108	740	208	370 Reissue filing fee
114	160	214	80 Provisional filing fee

Fee Paid

SUBTOTAL (1) (\$)2. **EXTRA CLAIM FEES**

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
			-20** =	0 X	=
			-3** =	0 X	=

Large Entity Small Entity

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee Description
103	18	203	9 Claims in excess of 20
102	84	202	42 Independent claims in excess of 3
104	280	204	140 Multiple dependent claim, if not paid
109	84	209	42 ** Reissue independent claims over original patent
110	18	210	9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

3. **ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 Surcharge - late filing fee or oath	65
127	50	227 Surcharge - late provisional filing fee or cover sheet	25
139	130	139 Non-English specification	130
147	2,520	147 2,520 For filing a request for ex parte reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 Extension for reply within first month	55
116	400	216 Extension for reply within second month	200
117	920	217 Extension for reply within third month	460
118	1,440	218 Extension for reply within fourth month	720
128	1,960	228 Extension for reply within fifth month	980
119	320	219 Notice of Appeal	160
120	320	220 Filing a brief in support of an appeal	160
121	280	221 Request for oral hearing	140
138	1,510	138 Petition to institute a public use proceeding	1,510
140	110	240 Petition to revive - unavoidable	55
141	1,280	241 Petition to revive - unintentional	640
142	1,280	242 Utility issue fee (or reissue)	640
143	460	243 Design issue fee	230
144	620	244 Plant issue fee	310
122	130	122 Petitions to the Commissioner	130
123	50	123 Processing fee under 37 CFR 1.17(q)	50
126	180	126 Submission of Information Disclosure Stmt	180.00
581	40	581 Recording each patent assignment per property (times number of properties)	40
146	740	246 Filing a submission after final rejection (37 CFR § 1.129(a))	370
149	740	249 For each additional invention to be examined (37 CFR § 1.129(b))	370
179	740	279 Request for Continued Examination (RCE)	370
169	900	169 Request for expedited examination of a design application	900

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**SUBMITTED BY**

Complete (if applicable)

Name (Print/Type)	Margaret Chappuis	Registration No. (Attorney/Agent)	45,735	Telephone	203-794-1100
Signature	<i>Margaret Chappuis</i>				
		Date	12/18/2002		

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